

04-13-01

10/21/01

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|----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------|-----------|
| UTILITY PATENT APPLICATION TRANSMITTAL <small>For new non-provisional applications under 37 CFR 1.53(b))</small> | Attorney Docket No. | 0979-4974 |
| | First Named Inventor or Application Identifier | |
| | Masahiro Tanaka | |
| | Express Mail Label No: EL281361j078US | |

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

| APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i> | | ACCOMPANYING APPLICATION PARTS | |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|
| 1. <input checked="" type="checkbox"/> Specification | Total Pages <u>44</u> | 5. <input type="checkbox"/> Assignment Papers (cover sheet & documentation) | |
| 2. <input checked="" type="checkbox"/> Drawing(s) (35USC 113) | Total Pages <u>12</u> | 6. <input checked="" type="checkbox"/> Letter under 37 CFR 1.41(c). | |
| 3. <input checked="" type="checkbox"/> Declaration and Power of Attorney | Total Pages <u>2</u> | 7. <input type="checkbox"/> English Translation Document (<i>if applicable</i>) | |
| a. <input checked="" type="checkbox"/> Unexecuted(original or copy) | | 8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 | <input type="checkbox"/> Copies of IDS Citations |
| b. <input type="checkbox"/> Copy from prior application (37CFR 1.63(d)) <i>(for continuation/divisional with Box 14 completed)</i> | | 9. <input type="checkbox"/> Preliminary Amendment | |
| <i>[Note Box 4 Below]</i> | | 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) | Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Application, Statement(s) Status still proper and desired | |
| 4. <input type="checkbox"/> Incorporation By Reference (usable if Box 3b is checked) | The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | 12. <input checked="" type="checkbox"/> Certified copy of Japanese priority document No. P2000-110139 filed April 12, 2000. | |

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No:

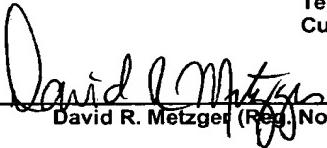
CLAIMS AS FILED

| | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) BASIC FEE |
|--------------------|---------------------------------------------------------------------|------------------|------------------|-----------|---------------|
| | | | | \$710.00 | |
| TOTAL CLAIMS | | 19 | | \$18.00 | 0.00 |
| INDEPENDENT CLAIMS | | 1 | | 80.00 | 0.00 |
| | ANY MULTIPLE DEPENDENT CLAIMS? | | | 270.00 | 0.00 |
| | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO | | | TOTAL FEE | \$710.00 |

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$710.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

SIGNATURE 
David R. Metzger (Reg. No. 32,919)

Date: April 12, 2001

Sonnenschein

SONNENSCHEIN NATH & ROSENTHAL

David R. Metzger
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New York
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St. Louis
Washington, D.C.

Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
“NONVOLATILE SEMICONDUCTOR STORAGE DEVICE”
Applicant(s) Masahiro Tanaka
Attorney Docket No. 09792909-4974

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 19 claims (1 independent), 12 sheets of drawings (Figs. 1-6D) and the filing fee of \$710.00 on behalf of

MASAHIRO TANAKA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHEIN NATH & ROSENTHAL

By:


David R. Metzger

Enclosures

EXPRESS MAIL #EL281361078US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: EL281361078US

Date of Deposit: April 12, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Masahiro Tanaka

Attorney Docket No. 09792909-4974

\$710.00 filing fee (19 claims - 1 independent)

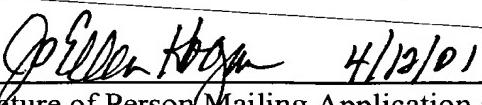
Drawings (12 sheets - Figs 1-6D)

Unexecuted Declaration

Letter Under Rule 37

Certified copy of Japanese priority document No. P2000-110139

Return postcard

 4/12/01

Signature of Person Mailing Application and Fees